



REQUEST FORM AND FORMALITIES PRELIMINARY AMENDMENT FOR  
FILING A PATENT APPLICATION UNDER 37 CFR 1.53(b)



Attorney Docket No. P63366US1

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

This is a request for filing the attached ☒ continuation or ☐ divisional or ☐ continuation-in-part application under 37 CFR 1.53(b) of prior application number **09/249,087**, filed February 12, 1999, for **ROTATING CUTTER HEAD FOR WORKING THE SURFACE OF ELASTOMERS**, by inventor(s):

**Dietmar BOXBERG**  
**Hans Peter SCHNORRENBURG**

Enclosed is a copy of the prior application including the inventorship declaration as originally filed.

1. ☒ A Preliminary Amendment is enclosed.
2. ☐ Cancel claims \* before calculating the filing fee.
3. ☒ The filing fee is calculated as follows on the basis of the claims existing in the prior application as amended by 1 and/or 2 above.

Fee Calculation							
Excess Claims							
	Nº of Claims	Nº Allowed	Excess Claims	Small Entity Fee		Large Entity Fee	
				<u>fee/claim</u>	<u>total</u>	<u>fee/claim</u>	<u>total</u>
Total	8	⊖ 20 =		⊗ \$9 =	\$0	⊗ \$18 =	\$0
Ind.	2	⊖ 3 =		⊗ \$42 =	\$0	⊗ \$84 =	\$0
<input type="checkbox"/> Multiple Dependent Claims (1 <sup>st</sup> Filing)				⊗ \$140 =		⊗ \$280 =	
Excess Claims Fee				\$0		\$0	
Filing Fee				\$		\$770	
Other:				\$		\$	
Total Fee Due				\$0		\$770	

4. ☒ Payment Form PTO-2038 (credit card) for \$770 is attached.
- ☐ Charge \$\* to Deposit Account No. 06-1358.

5. ☐ **This application is filed without declaration and filing fee under 37 CFR 1.53(f).**
6. ☒ Amend the specification by inserting at page 1, before the first line:  
This is a continuation of application no. 09/249,087 filed February 12, 1999.  
☐ which is a \* of application no. \*/\*, filed \*  
☐ which is a \* of application no. \*/\*, filed \*  
☐ which is a 371 of application no. PCT/\*/\*, filed \*, and published in \*  
the disclosure of the foregoing being incorporated by reference herein.
7. ☐ Small entity status:  
☐ A small entity statement is enclosed.  
☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.  
☐ Is no longer claimed.
8. ☒ Priority under 35 USC 119 is claimed to application number DE 29805864.1 filed February 13, 1998, in GERMANY.  
☒ Certified copy on file in prior application no. 09/248,087  
☐ Certified copy filed herewith.
9. ☐ The prior application is assigned of record to \_\_\_\_\_.
10. ☒ The Power of Attorney in the prior application is to at least one of the following:  
William E. Player, 31,409; John Clarke Holman, 22,769; Harvey B. Jacobson, Jr., 20,851; D. Douglas Price, 24,514; Marvin R. Stern, 20,640; Michael R. Slobasky, 26,421; Jonathan L. Scherer, 29,851; Irwin M. Aisenberg, 19,007.  
☒ The power appears in the original papers of the prior application.  
☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

11. ☒ Petition to extend the life of the above prior application to at least the date hereof  
(One box) ☒ is being concurrently filed in that prior application.

NOTE:(must be) ☐ was previously filed in that application.  
(X'd) ☐ is not necessary.

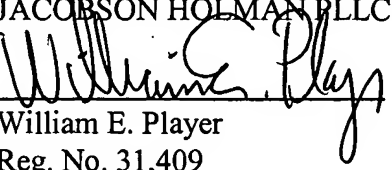
If a petition for extension of time is necessary and the petition and/or fee is not enclosed, the commissioner is petitioned to extend the time for response and authorized to charge any fees necessary under 37 CFR 1.17 (a)(1)-(5) to Deposit Account No. 06-1358.

12. ☐ Formal drawings are enclosed.
13. ☒ Enclosed is a copy of each Information Disclosure Statement (IDS) and Form PTO 1449 of record in each prior application relied on for an earlier filing date under 35 U.S.C. 120.
14. ☒ Enclosed is a copy each Form PTO 892 of record in each prior application relied on for an earlier filing date under 35 U.S.C. 120.

Address all future correspondence to:

JACOBSON HOLMAN PLLC  
The Jenifer Building  
400 Seventh Street, NW  
Washington, D.C. 20004-2201  
Telephone 202/638-6666  
Customer No. 00136

Respectfully submitted,

JACOBSON HOLMAN PLLC  
By   
William E. Player  
Reg. No. 31,409

400 Seventh Street, NW  
Washington, D.C. 20004-2201  
Telephone: (202) 638-6666  
Facsimile: (202) 393-5350  
Date: February 18, 2004  
WEP/bap

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